

## Quantum Theory of Condensed Matter

Prof. John Schliemann  
Dr. Andrea Donarini

Mo 10:15, 5.0.21  
We 16:15, H33

## Sheet 3

## 1. Dirac comb potential

Consider an electron confined to one-dimension and under the influence of the periodic potential given by the expression

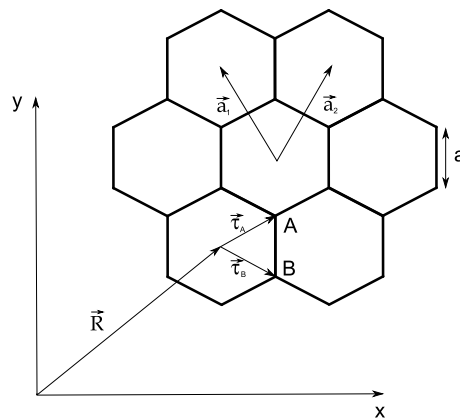
$$V(x) = \lambda \sum_{n \in \mathbb{Z}} \delta(x - nL). \quad (1)$$

Calculate, step by step, the energy bands for such a periodic potential. Namely:

- Solve the Schrödinger equation in the interval  $(0, L)$ .
- Impose that the solution on the full  $x$ -axis with the periodic potential (??) is of the Bloch form (*i.e.*  $\psi(x) = e^{ikx}u(x)$  where  $u(x)$  is a periodic function with the same period of the potential), continuous and with a discontinuity in the first derivative proportional to the strength of the delta function in the points  $x = nL$ .
- Prove that the conditions above can be fulfilled only by planewaves with specific values of the momentum. The corresponding energies are the bands for the model.

## 2. Electronic structure of non interacting graphene

A graphene sheet is a honeycomb lattice of carbon atoms (see figure below). Let the distance between car-



bon atoms be  $a = 1.42\text{\AA}$ .

- Find the reciprocal lattice, and construct the first Brillouin zone.
- A good model for graphene is to consider a plane in which there is one valence electron per carbon atom. To calculate the electronic bands of the non-interacting problem we will use the tight-binding approximation, in which this electron can occupy a single  $p_z$  orbital at each carbon site. Let  $\vec{R}$  denote the centers of the hexagons in the honeycomb: these form the underlying hexagonal Bravais lattice. Please notice that the latter is indeed a Bravais lattice differently from the graphene honeycomb lattice. The unit cell spanned by  $\vec{a}_1$  and  $\vec{a}_2$  contains two carbon atoms conventionally labelled as A and B atom, located at  $\vec{R} + \vec{\tau}_A$ ,

$\vec{R} + \vec{\tau}_B$ , as shown in the figure. Denote the tight-binding hopping amplitude connecting these sites by  $t$ . Choose the zero of energy so that the energy of the  $p_z$  isolated atomic orbital is zero. In the tight binding approximation only such matrix element is retained, so that in the  $p_z$  basis the entire Hamiltonian consists of the nearest-neighbour hopping. Write the Hamiltonian in second quantization in the basis of the (localized)  $p_z$  orbitals.

c) Write the Hamiltonian also in the basis of the extended states

$$|\phi_{A\vec{k}}\rangle = \frac{1}{\sqrt{N_{cel}}} \sum_{\vec{R}} e^{i\vec{k}\cdot\vec{R}} |p_z, \vec{R} + \vec{\tau}_A\rangle$$

$$|\phi_{B\vec{k}}\rangle = \frac{1}{\sqrt{N_{cel}}} \sum_{\vec{R}} e^{i\vec{k}\cdot\vec{R}} |p_z, \vec{R} + \vec{\tau}_B\rangle$$

where  $N_{cel}$  is the number of unit cells of the crystal and  $|p_z, \vec{S}\rangle$  is the state of the  $p_z$  orbital localized in the position  $\vec{S}$ . Hint: make use of the relation

$$\frac{1}{N_{cel}} \sum_{\vec{R}} \exp[-i(\vec{k} - \vec{k}') \cdot \vec{R}] = \delta_{\vec{k}\vec{k}'},$$

where the sum is intended over the Bravais lattice and  $\vec{k}$  and  $\vec{k}'$  belong to the reciprocal lattice.

d) The generic extended state of the graphene sheet have the form:  $|\psi\rangle = \sum_{\vec{k}} c_{A\vec{k}} |\phi_{A\vec{k}}\rangle + c_{B\vec{k}} |\phi_{B\vec{k}}\rangle$ . where  $c_{A\vec{k}}$  and  $c_{B\vec{k}}$  are complex numbers. Look for the eigenstates of the system and find also the corresponding eigenvalues. How many bands do you find? What are the energy-wavevector relations?

Hint: You will need to solve a two-by-two matrix eigenvalue problem: Namely the time independent Schrödinger equation written in the basis of extended states .

e) Show that the Fermi energy is equal to zero, by verifying that this gives the correct electron density. Find the set of  $\vec{k}$  points for which  $\varepsilon(\vec{k}) = 0$ . Show that these correspond to the corners of the first Brillouin zone.

f) (Optional) Show that, near to a (first) Brillouin zone corner with wavevector  $\vec{K}$  (note that  $\vec{K}$  is the location of a BZ corner, not a reciprocal lattice vector), the spectrum is approximately

$$\varepsilon(\vec{k}) \approx \pm \hbar v \sqrt{(k_x - K_x)^2 + (k_y - K_y)^2}.$$

Determine the velocity  $v$  in terms of  $t$  and  $a$ . This behavior is intermediate between that of a metal (with a Fermi surface instead of Fermi points  $\vec{K}$ ) and an insulator (with a band gap). Indeed, graphene behaves as a semi-metal, with poor conductivity significantly higher than in an insulator.

**Frohes Schaffen!**