

4.2 Landauer formula and the conductance of a quantum point contact

(16)

Let us now consider a generic mesoscopic conductor with $L \rightarrow \infty$

i.e. in the same spirit as before, the reservoirs only act as sources of electrons and set μ, T in the mesoscopic system.

Such system can have complicated geometries e.g. branches, like a ring or one or more constrictions acting as tunneling barriers. For such systems, the conductance

is given, in the case of $\hat{V}=0$ (non interacting electrons) by eq. (4.22)

$$\lim_{\omega \rightarrow 0} \tilde{G}(\omega) = G = 2\pi\hbar \sum_{\lambda, \lambda'} |t_{\lambda\lambda'}(x)|^2 \left(-\frac{\partial f(E_\lambda)}{\partial E_\lambda} \right) \delta(E_\lambda - E_{\lambda'}) \quad (4.22)$$

In the following, we apply this formula exemplarily to the case of a quantum point contact (QPC)

As we shall see, if we view the associated tunneling problem as a scattering problem, Eq. (4.22) can be recast in the form (at $T=0$)

$$G = \frac{2e^2}{h} \text{Tr} \{t^\dagger t\} = \frac{2e^2}{h} \sum_n \gamma_n(\mu)$$

with t a transmission matrix and $\{\gamma_n\}$ the eigenvalues of $t^\dagger t$.

Because of the invariance of the trace it also holds

$$\text{Tr} \{ t^\dagger t \} = \sum_m (t^\dagger t)_m = \sum_m T_m$$

here $(t^\dagger t)_m = T_m$ are transmission probabilities.

In general, $T_m \neq \tilde{T}_m$. They coincide, however,

in the case in which reflection probabilities at the barrier are negligible.

Note: According to the continuity equation, the current matrix element $i_{\lambda\lambda'}(x)$ cannot depend on x in the steady state

$$\text{From } \partial_x \hat{i}(x) + \partial_t \hat{j} = 0,$$

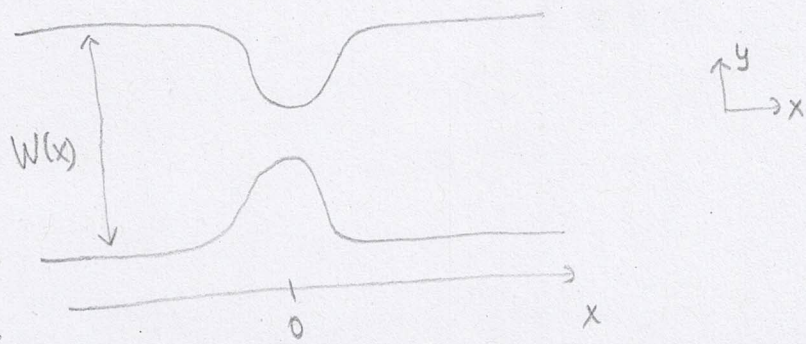
It follows

$$\begin{aligned} \langle \lambda | \partial_x \hat{i}(x) | \lambda' \rangle &= - \langle \lambda | \hat{j} | \lambda' \rangle = - \frac{i}{\hbar} \langle \lambda | [\hat{H}, \hat{j}] | \lambda' \rangle \\ &= - \frac{i}{\hbar} (E_\lambda - E_{\lambda'}) \langle \lambda | \hat{j} | \lambda' \rangle = 0 \quad \text{when } E_\lambda = E_{\lambda'} \end{aligned}$$

which is ensured by the Dirac-delta in (4.22)

↳ $i_{\lambda\lambda'}(x)$ can be calculated at a convenient position

Conductance of a QPC



• Noninteracting electrons $\hat{V}=0 \Rightarrow$ Eq. (4.33) applies

• Main task is to define the basis set $\{|\lambda\rangle\}$

I.e. one has to look for the eigenstates of $\hat{h} = \frac{\hat{p}^2}{2m} + u(x,y)$

which are solutions of the Schrödinger eq.

$$\hat{h} \psi(x,y) = \left[-\frac{\hbar^2}{2m} (\partial_x^2 + \partial_y^2) + u(x,y) \right] \psi(x,y) = E \psi(x,y)$$

The constriction breaks translational invariance along x

$\hookrightarrow k_x$ no longer good quantum number, E still is

• For smooth confinement, it is convenient to expand $\psi(x,y)$ in terms of the transverse eigenstates $\phi_{mx}(y)$, which however depend on position x:

$$\psi(x,y) = \sum_m \chi_m(x) \phi_{mx}(y) \quad (4.23)$$

This is possible since, at any x, the $\phi_{mx}(y)$ solve the transverse Sch. eq.

$$\left[-\frac{\hbar^2}{2m} \partial_y^2 + u(x,y) \right] \phi_{mx}(y) = \epsilon_m(x) \phi_{mx}(y) \quad (4.24)$$

Inserting (4.24) in (4.22) and integrating over y (cf. Exercise sheet 3) (19)

$$\Rightarrow \left[-\frac{\hbar^2}{2m} \partial_x^2 + \varepsilon_m(x) \right] \chi_m(x) = E \chi_m(x) + \delta_m \left(\frac{1}{2} \chi_m(x) \right) \quad (4.25)$$

with

$$\delta_m = \frac{\hbar^2}{m} \sum_{m'} \int dy \phi_{m'}^*(y) \left[(\partial_x \chi_{m'}(x)) (\partial_x \phi_{m'}(y)) + \frac{1}{2} \chi_{m'}(x) \frac{\partial^2 \phi_{m'}(y)}{\partial x^2} \right]$$

• consequences of confinement

i) Coupled equations for $\chi_m(x)$ due to the dependence of $\phi_m(y)$ on x

ii) momentum k_x no longer good quantum number (use E)

• simplification 1: adiabatic approximation

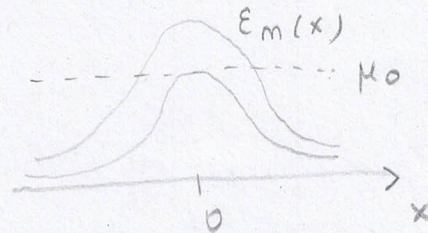
$$\partial_x \phi_{m'}(y) \approx 0 \Leftrightarrow \delta_m \approx 0 \quad \begin{array}{l} \text{smooth variation of} \\ \text{transverse mode along} \\ \text{longitudinal direction} \end{array} \quad (4.26)$$

\Rightarrow within adiabatic approximation $\chi_m(x)$ solves the

1D Schrödinger equation with effective potential $\varepsilon_m(x)$

example: hard wall confinement $\phi_m(x, \pm \frac{W(x)}{2}) = 0 \quad \forall m$

$$\hookrightarrow \left\{ \begin{array}{l} \varepsilon_m(x) = \frac{\pi^2 m^2}{2m W^2(x)} \end{array} \right.$$

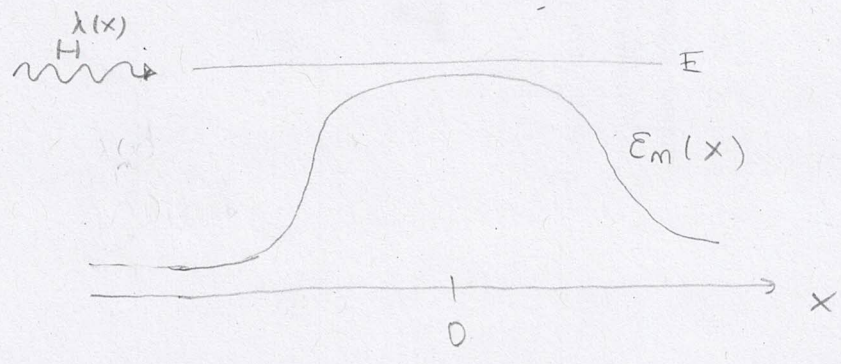


$$\left\{ \begin{array}{l} \phi_{m'}(y) = \sqrt{\frac{2}{W(x)}} \sin \left[m\pi \left(\frac{y}{W(x)} + \frac{1}{2} \right) \right] \end{array} \right.$$

$$\hookrightarrow \left[-\frac{\hbar^2}{2m} \partial_x^2 + \varepsilon_m(x) \right] \chi_m(x) = E \chi_m(x) \quad (4.27)$$

eq. for χ_m in adiabatic approximation

Smooth barrier : WKB approximation



smooth barrier : variation of $\epsilon_m(x)$ small compared to $\lambda(x)$,

where $\lambda_m(x) = \frac{\hbar}{p(x)}$, $p_m(x) = \sqrt{2m(E - \epsilon_m(x))}$

WKB condition $\hbar |p'(x)| / p^2(x) \ll 1$ (4.28)

i) "classical" region $E > \epsilon_m(x=0)$

$\chi_m(x) \approx \tilde{\chi}_m^+(x) = \frac{C_+}{\sqrt{p(x)}} e^{i \int_{-\infty}^x dx' p_m(x')} \quad (*)$ (4.29)

(*) also solutions with $C_+ \rightarrow C_+ / \sqrt{p(x)}$ possible

Solves Eq. (4.26) $\Rightarrow \tilde{\chi}_m = \tilde{\chi}_{mR(E)}$, $R = P/\hbar$

Further, it exists the "backward" solution, $\tilde{\chi}_m^{-KB}(x) = \frac{C_-}{\sqrt{p(x)}} e^{-i \int_{-\infty}^x dx' p_m(x')}$

ii) tunneling region $E < \epsilon_m(x=0)$

A general solution has the form $\tilde{\chi}_m(x) \approx \frac{D_{\pm}}{\sqrt{|p(x)|}} \exp \pm \int dx' |p(x')|$

note:

$\partial_x \tilde{\chi}_m^+(x) = \frac{C_+}{\sqrt{p(x)}} \left[\frac{i}{\hbar} p(x) \exp \left(\frac{i}{\hbar} \int_{-\infty}^x dx' p_m(x') \right) + C_+ p(x)^{-3/2} p'(x) e^{\frac{i}{\hbar} \int_{-\infty}^x dx' p_m(x')} \right]$

(*) note: Also "standard" plane wave solution is possible (more convenient for tunneling problems to WKB approximation)

$\tilde{\chi}_m(x) = \frac{C_1}{\sqrt{p(x)}} e^{i/\hbar \int_{-\infty}^x dx' p_m(x')} \quad (4.29b)$

ii) tunneling region $E < \epsilon_m(x=0)$
 \Rightarrow use $\tilde{\chi}_m(x) \approx \frac{D_{\pm}}{\sqrt{|p(x)|}} \exp \pm \int dx' |p(x')| \quad (4.30)$

Work: Relation between expectation values calculated using χ_m or $\tilde{\chi}_m$

Consider the case of a plane wave

$$\chi_{mk}(x) = \frac{1}{\sqrt{L}} e^{ik_m x}, \quad \tilde{\chi}_{mk}(x) = \frac{C + e^{ik_m x}}{\sqrt{R_m}}$$

We For $\chi_m(x)$ it holds $|\chi_{mk}|^2 = 1$, i.e. the norm is conserved and independent of position, while for $\tilde{\chi}_m$ is

$$\tilde{\chi}_{mk}^* \hat{p}_x \tilde{\chi}_{mk} = \frac{1}{R} \tilde{\chi}_{mk}^*(x) \left(-\frac{i}{\hbar} \partial_x \right) \tilde{\chi}_{mk}(x) = \frac{1}{\hbar} |C|^2$$

i.e., the particle's onflux is independent of x .

This property is convenient for tunneling problems when matching conditions at the tunneling barrier are imposed and for which E and not k is a defined quantity.

We wish now to relate expectation values. Get $\left\{ \begin{array}{l} \langle x | k \rangle = \frac{1}{\sqrt{L}} e^{ikx} \\ \langle x | \tilde{k} \rangle = \frac{1}{\sqrt{R}} e^{ikx} \end{array} \right.$

↳ for a generic operator \hat{A} $\frac{1}{2\pi} \int dk \langle \tilde{m}k | \hat{A} | \tilde{m}k \rangle$

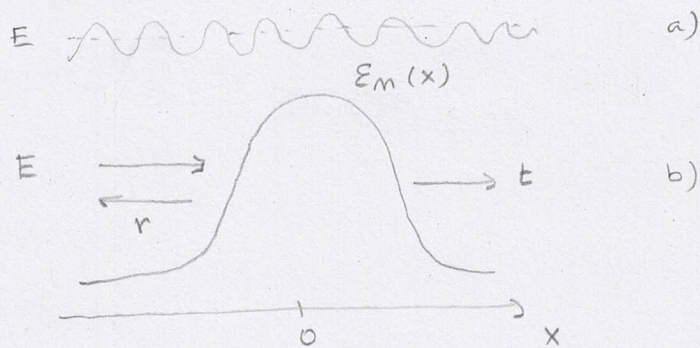
$$\int_{k>0} \langle k | \hat{A} | k \rangle = \frac{1}{2\pi} \int_0^\infty dk \langle \tilde{k} | \hat{A} | \tilde{k} \rangle = \frac{1}{2\pi} \int_0^\infty dk k \langle \tilde{k} | \hat{A} | \tilde{k} \rangle$$

$$= \frac{1}{2\pi} \int_0^\infty dE \frac{k}{dE/dk} \langle \tilde{k} | \hat{A} | \tilde{k} \rangle$$

$$\Rightarrow \text{if } E = E_m + \frac{\hbar^2 k^2}{2m} \text{ is } \frac{dE}{dk} = \frac{\hbar^2 k}{m}$$

$$\Rightarrow \int_{k>0} \langle \tilde{k} | \hat{A} | \tilde{k} \rangle = \frac{m}{2\pi \hbar^2} \int_0^\infty dE \langle \tilde{k} | \hat{A} | \tilde{k} \rangle$$

• Consequences of barrier (WKB)



- $\left\{ \begin{array}{l} E > E_m(x=0) \\ E < E_m(x=0) \end{array} \right.$
 - perfect transmission (WKB)
 - transmission and reflection

simplification 2: vanishing transmission if $E < E_m(x=0)$

- ↳ only $\left\{ \begin{array}{l} \text{open channels} \\ \text{closed } \text{''} \end{array} \right.$
 - $E > E_m(x=0)$
 - $E < E_m(x=0)$

i.e. the barrier is not a scatterer but only modulates phase and amplitude of $\tilde{\chi}_m(x)$ cf. (4.29) or $\chi_m(x)$ cf. (4.29b)

↳ appropriate basis: $\{ |\lambda, \sigma\rangle \}$ with $|\lambda\rangle = |m, k(E)\rangle$, σ spin

where

$$\langle \vec{r} | m, k(E) \rangle = \chi_{mk}(x) \phi_m(x) = \psi_\lambda(\vec{r}), \quad \hat{h} | m, k(E) \rangle = E | m, k(E) \rangle$$

$$\text{and } E = E_m(x) + \frac{\hbar^2 k^2(x)}{2m}$$

current operator $\hat{I}(x) = \sum_{\lambda, \lambda'} \sum_{\sigma} i_{\lambda, \lambda'}(x) \hat{C}_{\lambda, \sigma}^{\dagger} \hat{C}_{\lambda', \sigma}$

• relation of field operators to \hat{c}_λ operators

(22)

$$\hat{\psi}_\sigma^+(\vec{r})|0\rangle = |\vec{r}, \sigma\rangle = \sum_{\lambda'\sigma'} |\lambda'\sigma'\rangle \langle \lambda'\sigma' | \vec{r}, \sigma \rangle = \sum_{\lambda'\sigma'} \psi_{\lambda'}^*(\vec{r}) |\lambda'\sigma'\rangle \delta_{\sigma\sigma'}$$

$$\hookrightarrow \hat{\psi}_\sigma^+(\vec{r}) = \sum_{\lambda} \psi_{\lambda}^*(\vec{r}) \hat{c}_{\lambda\sigma}^+ \quad (4.32)$$

• current operator in $\{|\lambda\sigma\rangle\}$ basis

$$\hat{I}(x) = \sum_{\lambda_1 \lambda_2} \sum_{\sigma} \left(\frac{-ie\hbar}{2m} \right) \int dy \left[\psi_{\lambda_1}^*(\vec{r}) \partial_x \psi_{\lambda_2}(\vec{r}) - \partial_x \psi_{\lambda_1}^*(\vec{r}) \psi_{\lambda_2}(\vec{r}) \right] \cdot \hat{c}_{\lambda_1\sigma}^+ \hat{c}_{\lambda_2\sigma}$$

$$\Rightarrow i_{\lambda_1 \lambda_2}(x) = \left(\frac{-ie\hbar}{2m} \right) \int dy \left[\psi_{\lambda_1}^*(\vec{r}) \partial_x \psi_{\lambda_2}(\vec{r}) - \partial_x \psi_{\lambda_1}^*(\vec{r}) \psi_{\lambda_2}(\vec{r}) \right] \quad (4.33)$$

In general $i_{\lambda_1 \lambda_2}(x)$ is not diagonal in the channel index m .

A decoupling of channels requires the adiabatic approximation:

$$\psi_{\lambda}(\vec{r}) = \langle \vec{r} | \lambda \rangle = \langle \vec{r} | m, k \rangle = \chi_{m,k}(x) \phi_{m,x}(y)$$

\uparrow
 $k = k(E)$

$$i_{\lambda_1 \lambda_2} \int dy \psi_{\lambda_1}^*(\vec{r}) \partial_x \psi_{\lambda_2}(\vec{r}) = \int dy \chi_{m,k}^*(x) \phi_{m,x}^*(y) \partial_x \left(\chi_{m,k'}(x) \phi_{m,x}(y) \right)$$

adiabatic approximation \approx

$$\int dy \chi_{m,k}^*(x) \partial_x \chi_{m,k'}(x) \underbrace{\int dy \phi_{m,x}^*(y) \phi_{m,x}(y)}_{\delta_{mm}}$$

$\partial_x \phi_{m,x}(y) \approx 0$

$$\Rightarrow \int dy \psi_{\lambda_1}^*(\vec{r}) \partial_x \psi_{\lambda_2}(\vec{r}) = \chi_{m,k}^*(x) \partial_x \chi_{m,k'}(x) \delta_{mm} \quad (4.34)$$

in adiabatic approximation

Conductance of adiabatic and 'opaque' QPC

opaque QPC \Rightarrow no tunneling through barrier

$$\Rightarrow \begin{cases} \chi_{mR}^+(x) = \frac{1}{\sqrt{L}} e^{i \int_{x_0}^x dx' k_m(x')} & \text{forward propagating} \\ \chi_{mR}^-(x) = \frac{1}{\sqrt{L}} e^{-i \int_{x_0}^x dx' k_m(x')} & \text{backward propagating} \end{cases}$$

with $k_m(x) = \sqrt{2m(E - \epsilon_m(x))} / \hbar = k_m(E)$

$$\Rightarrow \partial_x \chi_{mR}^\pm(x) \stackrel{\text{WKB}}{\approx} \pm i k(x) \chi_{mR}^\pm(x)$$

by accounting for forward and backward solutions the same result as for the plane waves is obtained $\pm \frac{k}{k} \rightarrow k \geq 0$

$$\Rightarrow i_{\lambda\lambda'}(x) = \langle \lambda | \hat{i}(x) | \lambda' \rangle = -\frac{ie\hbar}{2m} \left[\chi_{mR}^*(x) \partial_x \chi_{mR'}(x) - \partial_x \chi_{mR}^*(x) \chi_{mR'}(x) \right] \delta_{mm}$$

$\lambda = m, R(E)$
 $\lambda' = m, R'(E)$

$$\Rightarrow i_{\lambda\lambda'}(x) = \begin{cases} \frac{e\hbar}{m} \frac{(k(x) + k'(x))}{2L} & \text{forward with } k \geq 0, k' \leq 0 \text{ and} \\ \frac{e\hbar}{m} \frac{(k(x) - k'(x))}{2L} & \text{backward, propagating} \end{cases}$$

$|k| = \sqrt{2m(E - \epsilon_m(x=0))} / \hbar$

This result is the same as for the perfect wire \Rightarrow Eq. (4.18b) for G. Since, in addition, G is independent of where x is calculated we can evaluate the conductance far away from the barrier

$$\Rightarrow G = 2\pi\hbar \left(\frac{e\hbar}{2mL} \right)^2 \sum_{qRm} (2k+q) \left(\frac{-\partial f}{\partial \epsilon_R} \right) \delta(\epsilon_R - \epsilon_{R+q}) \stackrel{\text{cf. perfect wire}}{\approx} \frac{2e^2}{\hbar} \sum_m f(\epsilon_m(x=0))$$

Nonadiabatic QPC with finite transmission

We release now both simplifications we made so far

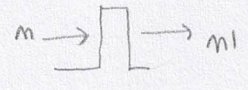
i) adiabatic approximation no longer valid

e.g. steep barrier $\Rightarrow \partial_x \phi_m(y) \neq 0$

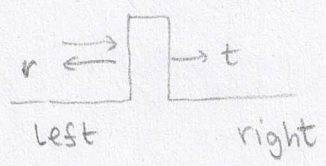


\Rightarrow mixing of channels through the barrier

$i_{mm'} \neq i_{mm} \delta_{mm'}$



ii) barrier is not opaque \Rightarrow tunneling possible



reflection at the barrier & tunneling must be included

\Rightarrow proper traveling states $\psi_{\alpha m E}(\vec{r})$, $\alpha = L, R$

states of energy E with an incoming wave from left/right and that far away

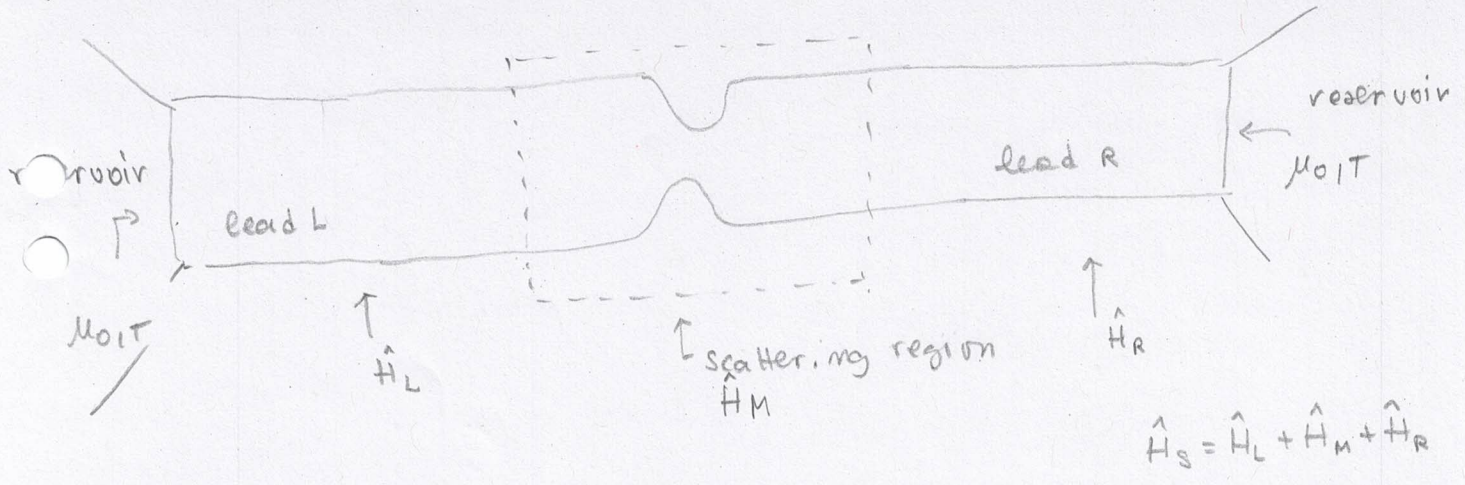
from the scatterer, can be described as

linear combinations of forward propagating ($k > 0$) and backward propagating ($k < 0$)

solutions $\{ \psi_{\alpha m E}^{\pm}(\vec{r}) = \frac{1}{\sqrt{L}} \phi_{m \alpha}(y) e^{\pm i k x} \}$, $\alpha = L, R$

of the Schrödinger eq. for perfect wires (leads) at the left/right ($\alpha = L, R$) of the scatterer

Specifically; we use so called "scattering" boundary conditions, whereby we imagine that, if we are far away from the barrier (scatterer), we can assume to have scattering free leads (i.e. pieces of an ideal wire) connected to reservoirs. The latter μ_{0IT} for the whole system (global equilibrium)



Scattering boundary conditions:

$$\left\{ \begin{array}{l} \lim_{x \rightarrow -\infty} \hat{H}_S = \hat{H}_L \\ \lim_{x \rightarrow +\infty} \hat{H}_S = \hat{H}_R \end{array} \right. \quad \begin{array}{l} \text{isolated} \\ \text{lead} \\ \text{eigenfunctions} \\ \text{of } \hat{h}_L, \hat{h}_R \end{array} \quad \begin{array}{l} i \hbar x \\ \psi(\vec{r}) = \frac{1}{\sqrt{L}} \phi(y) e^{ikx} \\ \text{max } \hbar k \end{array}$$

energies

$$E_{\text{max}} = \epsilon_{\text{max}} + \frac{\hbar^2 k^2}{2m}$$

$$\hat{H}_\alpha = \sum_{mk} \hat{h}_{\alpha k} \hat{C}_{\alpha mk}^\dagger \hat{C}_{\alpha mk}$$

Importantly, energy is conserved by an electron traveling from left \rightarrow right or right \rightarrow left

Traveling states

For an incoming wave from lead L

$$\Psi_{MLE}(x, \vec{r}_\perp) = \begin{cases} \Psi_{MLR(E)}^+(\vec{r}) + \sum_{m=1}^{N_L} R_{mm} \Psi_{MLR(E)}^-(\vec{r}) & (x, \vec{r}_\perp) \in L \\ \Psi_{M,E}(\vec{r}) & (x, \vec{r}_\perp) \in M \\ \sum_{m=1}^{N_R} T_{mm} \Psi_{MR(E)}^+(\vec{r}) & (x, \vec{r}_\perp) \in R \end{cases} \quad (4.35)$$

where N_α nr. of transverse channel of lead α .

Further $\Psi_{ME}(\vec{r})$ in the middle region are complicated functions which are in principle determined by imposing the continuity of $\Psi_{MLE}(x, \vec{r}_\perp)$ and its derivative at the boundaries.

Similarly, for an incoming wave from lead R

$$\Psi_{MRE}(x, \vec{r}_\perp) = \begin{cases} \sum_{m=1}^{N_L} T'_{mm} \Psi_{MLR(E)}^-(\vec{r}) & (x, \vec{r}_\perp) \in L \\ \Psi_{M,E}(\vec{r}) & (x, \vec{r}_\perp) \in M \\ \sum_{m=1}^{N_R} R'_{mm} \Psi_{MR(E)}^+(\vec{r}) + \Psi_{MR(E)}^-(\vec{r}) & (x, \vec{r}_\perp) \in R \end{cases} \quad (4.36)$$

note: R_{mm} and T_{mm} (R'_{mm} and T'_{mm}) are not reflection and transmission amplitudes normalized to the flux. *normalized to the flux*

Current matrix

$$\vec{r} = (x, y)$$

(27)

$$i_{\lambda\lambda'}(x) = \frac{e\hbar}{2im} \int dy \left[\psi_{\lambda}^*(\vec{r}) \frac{\partial \psi_{\lambda'}(\vec{r})}{\partial x} - \frac{\partial \psi_{\lambda}^*(\vec{r})}{\partial x} \psi_{\lambda'}(\vec{r}) \right]$$

with $\vec{r} = (x, y)$, $\lambda = (m, \alpha, E)$.

i) According to the continuity equation, the current matrix elements are independent of x and can be calculated in the L or R regions at our convenience.

ii) Further, from the conductance formula (4.22)

$$G = 2\pi\hbar \sum_{\lambda\lambda'} |i_{\lambda\lambda'}(x)|^2 \left(-\frac{\partial f}{\partial E_{\lambda}} \right) \delta(E_{\lambda} - E_{\lambda'}) \quad (4.22)$$

\Rightarrow energy is conserved: $E_{\lambda} = E_{\lambda'}$

We look for

iii) At zero temperature

$i_{\lambda\lambda'}(x)$

$m\alpha k_m(E)$, $m'\alpha' k_{m'}(E')$

$$\left(-\frac{\partial f}{\partial E_{\lambda}} \right) = \delta(E - \mu_0) \text{ with } E = E'$$

we also use

$k_m(E)$ or $k_{m'}(E)$

note: we use in general

$$k_m'(E') = \frac{\sqrt{2m(E' - E_m)}}{\hbar} = k_m'$$

$$k_m(E) = \frac{\sqrt{2m(E - E_m)}}{\hbar} = k_m$$

$x \in L$

b)
$$i_{mLE}^{m'LE}(x) = \frac{e}{L} \sqrt{v_m} \sqrt{v_{m'}} \left[\delta_{mm'} - (r^+ r)_{mm'} \right] \quad (4.37a) \quad \text{lecture 4.31a}$$

with

$$r_{mm} \equiv R_{mm} \sqrt{\frac{v_m}{v_m}} \quad (4.38) \text{ reflection coefficient at lead } L$$

and
$$v_m = \frac{1}{\hbar} \frac{\partial E}{\partial k_m} = \frac{\hbar k_m}{m}$$

b)
$$i_{mRE}^{m'RE}(x) = \frac{e}{L} \sqrt{v_m} \sqrt{v_{m'}} \left[- (t^{'+}) t' \right]_{mm'} \quad (4.37b)$$

with

$$t'_{mm} \equiv J'_{mm} \sqrt{\frac{v_m}{v_m}} \quad (4.39) \text{ transmission coefficient at lead } L$$

c)
$$i_{mLE}^{m'RE}(x) = -\frac{e}{L} \sqrt{v_m} \sqrt{v_{m'}} (r^+ t')$$
 (4.37c)

d)
$$i_{mRE}^{m'LE}(x) = -\frac{e}{L} \sqrt{v_m} \sqrt{v_{m'}} (t'^+ r)$$
 (4.37d)

$$a) \quad i_{mLE \ m'LE}^{(x)} = \frac{e}{L} \sqrt{v_m} \sqrt{v_{m'}} (t^+ t)_{mm'} \quad (4.40a)$$

lecture 4.32a

with $t_{mm} = J_{mm} \sqrt{\frac{v_{m'}}{v_m}}$ (4.41) transmission coefficient at lead R

$$b) \quad i_{mRE \ m'LE}^{(x)} = - \frac{e}{L} \sqrt{v_m} \sqrt{v_{m'}} [\delta_{mm'} - (r^+ r')_{mm'}] \quad (4.40b)$$

with $r'_{mm} = R'_{mm} \sqrt{\frac{v_{m'}}{v_m}}$ (4.42)

$$c) \quad i_{mLE \ m'RE}^{(x)} = \frac{e}{L} \sqrt{v_m} \sqrt{v_{m'}} (t^+ r') \quad (4.40c)$$

$$d) \quad i_{m'RE \ m'LE}^{(x)} = \frac{e}{L} \sqrt{v_m} \sqrt{v_{m'}} (r^+ t) \quad (4.40d)$$

Relation between transmission and reflection coefficients

$i_{\lambda\lambda'}(x)$ independent of x

a) Current $i_{mLE}^{m'LE}(x)$ given by (4.37a) and (4.40a) is the same

$$t^+ t = \mathbb{1} - r^+ r \Rightarrow \boxed{t^+ t + r^+ r = \mathbb{1}} \quad (4.43a)$$

b) similarly for $i_{mRE}^{m'RE}(x)$

$$t'^+ t' = \mathbb{1} - r'^+ r' \Rightarrow \boxed{t'^+ t' + r'^+ r' = \mathbb{1}} \quad (4.43b)$$

c) from expression for cross terms (4.38c) = (4.40c)

$$-r^+ t' = t^+ r' \Rightarrow \boxed{r^+ t' + t^+ r' = 0} \quad (4.43c)$$

Scattering matrix

Interestingly, these relations reveal that r, r', t and t' are simply the elements of the scattering matrix

$$S = \begin{pmatrix} r(\epsilon) & t'(\epsilon) \\ t(\epsilon) & r'(\epsilon) \end{pmatrix} \quad (4.44c)$$

which relates the outgoing flux amplitudes $\sqrt{v_m} \psi_{mLE}^- + \sqrt{v_m} \psi_{mRE}^+$ to incoming ones $\sqrt{v_m} \psi_{mLR}^+ + \sqrt{v_m} \psi_{mRR}^-$

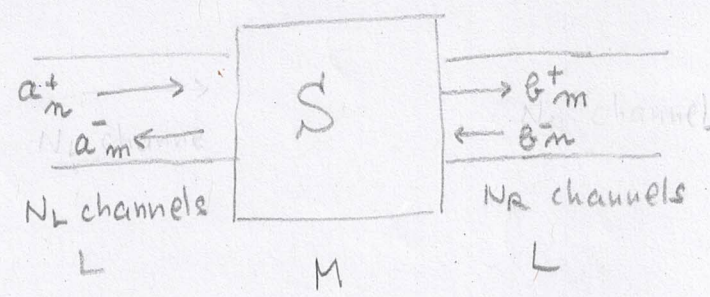
note: $S = \begin{pmatrix} N_L \times N_R & N_L \times N_R \\ N_R \times N_L & N_R \times N_R \end{pmatrix}$

Define the vector $\begin{cases} \vec{c}_{in}^m & \text{of incoming flux amplitudes} \\ \vec{c}_{out}^m & \text{outgoing "} \end{cases}$

$$\vec{c}_{in}^m = \begin{pmatrix} a_1^+ \\ a_2^+ \\ \vdots \\ a_{N_L}^+ \\ b_1^- \\ b_2^- \\ \vdots \\ b_{N_R}^- \end{pmatrix}$$

$$\vec{c}_{out}^m = \begin{pmatrix} a_1^- \\ a_2^- \\ \vdots \\ a_{N_L}^- \\ b_1^+ \\ \vdots \\ b_{N_R}^+ \end{pmatrix}$$

→ $\vec{c}_{out} = S \vec{c}_{in} \quad (4.45)$



E.g. for the traveling state (4.35)

$$\vec{c}_{in}^m = \begin{pmatrix} 0 \\ 0 \\ 0 \\ a_m^+ = \sqrt{v_m} \cdot 1 \\ 0 \\ 0 \\ \vdots \end{pmatrix}, \quad \vec{c}_{out}^m = \begin{pmatrix} r_{11} & r_{12} \\ r_{21} & r_{22} \\ \vdots & \vdots \\ t_{11} & t_{12} \\ \vdots & \vdots \\ t_{N_L 1} & t_{N_L 2} \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} a_1^- \\ \vdots \\ a_{N_L}^- \\ b_1^+ \\ \vdots \\ b_{N_R}^+ \end{pmatrix}$$

$(N_L + N_R) \times 1$

$$\Rightarrow \vec{c}_{out}^m = \begin{pmatrix} r_{1m} \sqrt{v_m} \\ r_{2m} \sqrt{v_m} \\ \vdots \\ t_{1m} \sqrt{v_m} \\ \vdots \\ t_{N_L m} \sqrt{v_m} \end{pmatrix} = \begin{pmatrix} R_{1m} \sqrt{v_1} \\ R_{2m} \sqrt{v_2} \\ \vdots \\ T_{1m} \sqrt{v_1} \\ \vdots \\ T_{N_L m} \sqrt{v_{N_L}} \end{pmatrix}$$

in agreement with (4.35)

The relations (4.43a) - (4.43c) thus simply ensure flux conservation and hence the unitarity of the S-matrix,

$$S^\dagger S = 1 \quad (4.46)$$

Proof: $S^\dagger S = \begin{pmatrix} r^\dagger & t^\dagger \\ t^{\dagger\dagger} & r^{\dagger\dagger} \end{pmatrix} \begin{pmatrix} r & t \\ t & r \end{pmatrix} = \begin{pmatrix} r^\dagger r + t^\dagger t & r^\dagger t + t^\dagger r \\ t^{\dagger\dagger} r + r^{\dagger\dagger} t & t^{\dagger\dagger} t + r^{\dagger\dagger} r \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

Further $S^\dagger S = 1$ (4.46) yields the additional relations

$$\begin{cases} 1 = r^\dagger r + t^\dagger t = r r^\dagger + t t^\dagger & (4.43d) \\ 0 = r^\dagger t + t^\dagger r = t r^\dagger + r t^\dagger & (4.43e) \end{cases}$$

For system with time-reversal symmetry, $\hat{H} = \hat{H}^*$,

also implies

$$S = S^T \quad (4.46c)$$

i.e. the S-matrix is symmetric,

This property is important for the study e.g. of disordered systems.

From $\begin{cases} 1 = t^\dagger t + r^\dagger r \\ 1 = r r^\dagger + t t^\dagger \end{cases} \Rightarrow \boxed{\text{Tr} \{t^\dagger t\} = \text{Tr} \{t^\dagger t^\dagger\} = \text{Tr} \{t^\dagger t\}} \quad (4.43f)$

expression of $i_{\lambda\lambda'}(x)$ at $x \in \mathbb{R}$

From previous relations (4.40a) - (4.40d) and using 4.43b, 4.43c

$$i_{m \in m' d' E} (x \in \mathbb{R}) = \frac{e \sqrt{v_m} \sqrt{v_{m'}}}{L} \begin{pmatrix} (t^\dagger t)_{mm'} & (t^\dagger r')_{mm'} \\ (-t^{\dagger\dagger} r)_{mm'} & -(t^{\dagger\dagger} t')_{mm'} \end{pmatrix}$$

$$\Rightarrow \boxed{i_{m \in m' d' E} (x) = \frac{e \sqrt{v_m} \sqrt{v_{m'}}}{L} j_{d m d' m'}} \quad (4.47)$$

where $j = \begin{pmatrix} t^\dagger t & t^\dagger r' \\ -t^{\dagger\dagger} r & -(t^{\dagger\dagger} t') \end{pmatrix} \quad (4.48)$ is the scattering matrix.

expression for G

According to (4.22)

$$G = 2\pi\hbar \sum_{\lambda\lambda'} |i_{\lambda\lambda'}|^2 \left(\frac{\partial f}{\partial E_\lambda} \right) \delta(E_\lambda - E_{\lambda'})$$

$$= 2\pi\hbar \frac{e^2}{L^2} \sum_{mm'} \sum_{d d'} \sum_{k_n k'_m} v_m v_{m'} |j_{d m d' m'}^{(E)}|^2 \left(\frac{\partial f}{\partial E} \right) \delta(E - E')$$

use $\frac{1}{L} \sum_{k_n(\epsilon)} v_m \approx \frac{1}{2\pi} \int dk_n v = \frac{1}{2\pi\hbar} \int dE$ $v = \frac{1}{\hbar} \frac{\partial E}{\partial k}$

$$\Rightarrow G = \frac{e^2}{\hbar} \sum_{mm'} \sum_{d d'} \int dE |j_{d m d' m'}^{(E)}|^2 \left(\frac{\partial f}{\partial E} \right)$$

We need

$$\sum_{m,m'} \sum_{\alpha,\alpha'} |j_{\alpha m \alpha' m'}(E)|^2 = \text{Tr} \{ j^\dagger j \}$$

remember

$$\begin{aligned} \text{Tr} \{ A^\dagger A \} &= \sum_{m,m'} \langle m | A^\dagger | m' \rangle \langle m' | A | m \rangle = \sum_{m,m} A_{mm}^\dagger A_{mm} \\ &= \sum_{m,m} A_{mm}^* A_{mm} = \sum_{m,m} |A_{mm}|^2 \end{aligned}$$

From (4.44)

$$\text{Tr} \{ j^\dagger j \} = \text{Tr} \{ (t^\dagger t)^2 + (t'^\dagger t')^2 + r'^\dagger t t^\dagger r' + r^\dagger t' t'^\dagger r \} \cong 2 \text{Tr} \{ t^\dagger t \}$$

It follows the final important result where the last equality can be demonstrated as follows

$$\begin{cases} 1 = r r^\dagger + t'^\dagger t' \\ 1 = r'^\dagger r' + t t^\dagger \end{cases} \text{ from } r^\dagger r + r' r'^\dagger = 1$$

Hence

$$\begin{aligned} \text{Tr} \{ j^\dagger j \} &= \text{Tr} \{ t^\dagger (1 - r' r'^\dagger) t + (t'^\dagger (1 - r r^\dagger) t') + r'^\dagger t t^\dagger r' + r^\dagger t' t'^\dagger r \} \\ &= \text{Tr} \{ (t^\dagger t) + (t'^\dagger t') - t^\dagger r' r'^\dagger t - t'^\dagger r r^\dagger t' + r'^\dagger t t^\dagger r' + r^\dagger t' t'^\dagger r \} \\ &= 2 \text{Tr} \{ t^\dagger t \} - \text{Tr} \{ (r'^\dagger t)^\dagger r'^\dagger t \} - \text{Tr} \{ (r^\dagger t')^\dagger (r^\dagger t') \} + \text{Tr} \{ A A^\dagger \} + \text{Tr} \{ B B^\dagger \} \\ &= 2 \text{Tr} \{ t^\dagger t \} \end{aligned}$$

⇒ It follows
$$G = \frac{2\alpha^2}{\hbar} \int dE \text{Tr} \{ t^\dagger t \} \left(-\frac{\partial f}{\partial E} \right) \quad (4.49)$$

Fazit: conductance as transmission

310

We have demonstrated that for any (noninteracting) mesoscopic set-up which can be decomposed in left - middle - right part, with left/right perfect leads, the conductance reads

$$G = \frac{2e^2}{h} \int dE \operatorname{Tr} \{ t^\dagger t \} \left(-\frac{\partial f}{\partial E} \right) \quad (4.50)$$

This thus applies to the QPC but also to more complex systems.

Depending on the number of channels N_R in the right lead the resulting matrix $t^\dagger t$ is $N_R \times N_R$ and has N_R eigenvalues $\{T_m\}$

$$\hookrightarrow \operatorname{Tr} \{ t^\dagger t \} = \sum_{i=1}^{N_R} T_m(E)$$

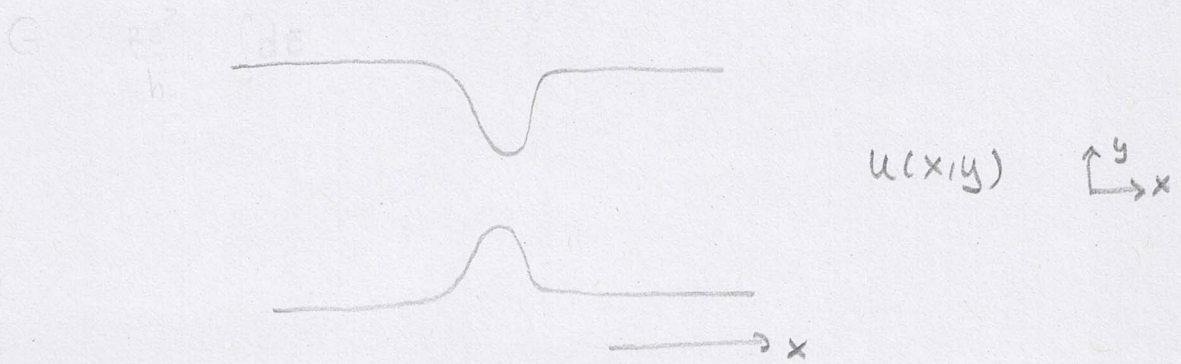
The whole problem thus reduces to the eigenvalues of the transmission matrix $T = t^\dagger t$.

The result

$$G = \frac{2e^2}{h} \sum_m \int dE T_m(E) \left(-\frac{\partial f}{\partial E} \right) \quad (4.51)$$

is another form of the Landauer formula for the conductance

• Conductance of a QPC within saddle-point model (31d)



Consider a saddle point expansion of the confinement potential near its maximum (Büttiker 1990)

$$u(x,y) \sim \frac{1}{2} m_y \omega_y^2 y^2 - \frac{1}{2} m \omega_x^2 x^2 + V_0$$

$$\rightarrow T_m(E) = \frac{1}{\exp\left[\pi \left(E - V_0 - \left(m + \frac{1}{2}\right) \hbar \omega_x\right) / \hbar \omega_y\right] + 1}$$

Here we demonstrate the relations (4.37a) - (4.37d)

Proof:

$$i \frac{\partial}{\partial x} \langle \lambda | \lambda' \rangle = \frac{e\hbar}{2im} \int dy \left[\psi_{\lambda}^* (\vec{r}) \frac{\partial \psi_{\lambda'} (\vec{r})}{\partial x} - \frac{\partial \psi_{\lambda}^* (\vec{r})}{\partial x} \psi_{\lambda'} (\vec{r}) \right]$$

the index $\lambda = m \alpha E_\lambda$, $\lambda' = m' \alpha' E_{\lambda'}$
 $N = m$

depending on whether $\alpha = L/R$ and $\alpha' = L/R$ we find if $x \in L$

$\alpha = L, \alpha' = L$

$$i \frac{\partial}{\partial x} \langle mLE_\lambda, m'LE_{\lambda'} \rangle = \frac{e\hbar}{2im} \int dy \left[\psi_{mLE_\lambda}^* \frac{\partial \psi_{m'LE_{\lambda'}}^+}{\partial x} - \frac{\partial \psi_{mLE_\lambda}^*}{\partial x} \psi_{m'LE_{\lambda'}}^+ \right]$$

$$+ \sum_{m=1}^{NL} R_{mm}^* \left(\psi_{mLE_\lambda}^- \right)^* \frac{\partial \psi_{m'LE_{\lambda'}}^+}{\partial x} - \sum_{m=1}^{NL} R_{mm}^* \left(\frac{\partial \psi_{mLE_\lambda}^-}{\partial x} \right)^* \psi_{m'LE_{\lambda'}}^+$$

$$+ \sum_{m=1}^{NL} \sum_{m'=1}^{NL} R_{mm}^* R_{m'm'} \left[\left(\psi_{mLE_\lambda}^- \right)^* \left(\frac{\partial \psi_{m'LE_{\lambda'}}^-}{\partial x} \right) - \left(\frac{\partial \psi_{mLE_\lambda}^-}{\partial x} \right)^* \psi_{m'LE_{\lambda'}}^- \right]$$

$$+ \sum_{m=1}^{NL} R_{m'm'} \left[\left(\psi_{mLE_\lambda}^+ \right)^* \frac{\partial \psi_{m'LE_{\lambda'}}^-}{\partial x} - \left(\frac{\partial \psi_{mLE_\lambda}^+}{\partial x} \right)^* \psi_{m'LE_{\lambda'}}^- \right]$$

since each transverse channel is orthogonal

$$\int dy \phi_m^*(y) \phi_{m'}(y) = \delta_{mm'}$$

note

From (*)

$$i_{mLE\lambda} \psi_{m'LE\lambda'}^{(+)} = \frac{e^{\hbar k_m x}}{2mL} \left[i(k'_m(E_{\lambda'}) + k_m(E)) \delta_{mm'} e^{-i(k_m - k'_m)x} + R_{m'm}^* e^{+i(k'_m + k_m)x} - (R_{mm}^* (k'_m(E) - k_m(E))) \right] \delta_{mm'}$$

$$+ R_{m'm}^* e^{+i(k'_m + k_m)x} - (R_{mm}^* (k'_m(E) - k_m(E)))$$

$$+ R_{mm} e^{-i(k_m + k'_m)x} (-i(k'_m - k_m))$$

$$+ \sum_{m=1}^{NL} R_{mm}^* R_{mm'} e^{i(k_m - k'_m)x} (-i(k'_m + k_m))$$

accounting now for the condition of energy conservation in G

$$E_{\lambda} = E_{\lambda'} \Rightarrow k_m(E) = k'_m(E)$$

and hence the two intermediate terms vanish

$$i_{mLE\lambda} \psi_{m'LE\lambda'}^{(+)} = \frac{e^{\hbar k_m x}}{2mL} \delta_{mm'} \cdot 2 - \sum_{m=1}^{NL} R_{mm}^* R_{mm'} \cdot 2 \frac{e^{\hbar k_m x}}{2m} k_m(E)$$

$$= \frac{e^{\hbar k_m x}}{2mL} \left[\delta_{mm'} - \sum_{m=1}^{NL} R_{mm}^* R_{mm'} \frac{k_m}{\sqrt{k_m} \sqrt{k'_m}} \right]$$

↳ Eq. (4.37a) follows from (4.38)

↳ using $(AB)_{ij} = \sum_k A_{ik} B_{kj}$, $(A^+B)_{ij} = \sum_k A^*_{ki} B_{kj}$ (Eq. 4.37a) follows

(*) with
$$\psi_{mLE\lambda}^{\pm} = \frac{1}{\sqrt{L}} e^{\pm i k_m x} \phi_m(y), \quad \psi_{m'LE\lambda'}^{\pm} = \frac{1}{\sqrt{L}} e^{\pm i k'_m x}$$

$$k_m = \sqrt{2m(E_{\lambda} - \epsilon_m)} / \hbar, \quad k'_m = \sqrt{2m(E_{\lambda} - \epsilon_{m'})} / \hbar$$

Similarly, for $x \in L$ and

$\alpha = R, \alpha' = R$ $E_\lambda = E_{\lambda'}$

$$\begin{aligned} \frac{i(x)}{m R E_\lambda} &= \frac{e \hbar v}{2 m L} \sum_{m=1}^{N_L} (J'_{mm})^* J'_{mm} R_m(E) \\ &= \frac{e \hbar}{2 m L} \sqrt{R_m} \sqrt{R_{m'}} \sum_{m=1}^{N_L} (t'_{mm})^* t'_{mm} \frac{v_m}{R_m(E)} \quad x \in L \end{aligned}$$

with

$t'_{mm} \equiv J'_{mm} \sqrt{\frac{v_m}{v_{m'}}$ the transmission coefficient at lead L

Eq. (4.37b) follows

If cross terms instead in eq

$\alpha = R, \alpha' = L$
 $d = R$
 $\frac{i(x)}{m R E_\lambda} = \dots$

cross-terms $\alpha = \beta$, $x \in L$

$$i_{MLE} (x) = \frac{e\hbar}{2imL} \int dy \left[\psi_{MLE}^{+*} + \sum_{m=1}^{N_L} R_{mm}^* \psi_{MLE}^{-*} \right] \left[\sum_{m'=1}^{N_L} J_{mm'}^1 \psi_{m'LE}^- \right]$$

$$- \int dy \partial_x \left[\psi_{MLE}^+ + \sum_{m=1}^{N_L} R_{mm} \psi_{MLE}^- \right]^* \sum_{m'=1}^{N_L} J_{m'm'}^1 \psi_{m'LE}^- \Bigg\}$$

$$= \frac{e\hbar}{2imL} \left[\begin{aligned} & e^{-ik_m x} J_{mm'}^1 e^{-ik_m x} (-ik_m) \\ & + \sum_{m=1}^{N_L} R_{mm}^* J_{mm'}^1 e^{+ik_m x} e^{-ik_m x} (-ik_m) \\ & - e^{-ik_m x} (-ik_m) J_{mm'}^1 e^{-ik_m x} \\ & - \sum_{m=1}^{N_L} R_{mm}^* J_{mm'}^1 e^{ik_m x} (ik_m) e^{ik_m x} \end{aligned} \right]$$

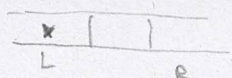
$$= \frac{e\hbar}{2imL} \left[\begin{aligned} & e^{-i(k_m+k_m)x} (-ik_m) J_{mm'}^1 + \sum_{m=1}^{N_L} R_{mm}^* J_{mm'}^1 (-ik_m) \\ & + e^{-i(k_m+k_m)x} (+ik_m) J_{mm'}^1 - \sum_{m=1}^{N_L} R_{mm}^* J_{mm'}^1 (ik_m) \end{aligned} \right]$$

$$= \frac{e\hbar}{2imL} \left[e^{-i(k_m+k_m)x} J_{mm'}^1 (-ik_m + ik_m) - 2i \sqrt{k_m} \sqrt{k_m} \sum_{m=1}^{N_L} r_{mm}^* t'_{mm'} \right]$$

$(r^+ t')_{mm'}$

$$= \frac{e\hbar}{2imL} \left[e^{-i(k_m+k_m)x} J_{mm'}^1 \underbrace{(-k_m + k_m)}_{=0} - 2\sqrt{k_m} \sqrt{k_m} (r^+ t')_{mm'} \right]$$

cross-terms $\alpha=R, \alpha'=L \quad x \in L$



$$i \int_{mRE}^{m'LE} dx = \frac{e\hbar}{2mi} \int dy \left[\sum_{m=1}^{NL} J'_{mm} \psi_{mLE}^- \right]^* \left[\psi_{m'LE}^+ + \sum_{m'=1}^{NL} R_{m'm'} \psi_{m'LE}^- \right]$$

$$- \int dy \partial_x \left[\sum_{m=1}^{NL} J'_{mm} \psi_{mLE}^- \right]^* \left[\psi_{m'LE}^+ + \sum_{m'=1}^{NL} R_{m'm'} \psi_{m'LE}^- \right]$$

$$= \frac{e\hbar}{2mi} \left\{ e^{ik_{m'}x} (J'_{m'm})^* e^{ik_{m_1}x} \right.$$

$$+ \sum_{m=1}^{NL} e^{+ik_m x} (J'_{mm})^* R_{mm'} e^{-ik_{m'}x} (-ik_m)$$

$$- \sum_{m=1}^{NL} (J'_{m'm})^* e^{ik_{m'}x} (ik_{m_1}) e^{ik_{m_1}x} R_{mm'}$$

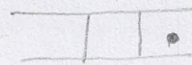
$$- \sum_{m=1}^{NL} (J'_{mm})^* e^{ik_m x} (ik_m) R_{mm'} e^{-ik_{m'}x} \left. \right\}$$

$$= \frac{e\hbar}{2mL} \left\{ e^{i(k_{m'}+k_{m_1})x} (J'_{m'm})^* (k_{m_1}-k_{m'}) \right.$$

$$+ \left. - \sum_{m=1}^{NL} (J'_{mm})^* R_{mm'} 2k_m \right\}$$

$$= \frac{e\hbar}{2mL} \left\{ e^{i(k_{m'}+k_{m_1})x} (J'_{m'm})^* (k_{m_1}-k_{m'}) - 2\sqrt{k_m} \sqrt{k_{m'}} \frac{(t' r)_{mm'}}{1} \right\}$$

done



$$i \frac{e\hbar}{2mL} \int dy \left[\psi_{mRk_m}^- + \sum_{m=1}^{NR} R'_{mm} \psi_{mRk_m}^+ \right]^* \sum_{m'=1}^{NR} \psi_{m'Rk_{m'}}^+ J_{m'm'}$$

$$- \int dy \left[\psi_{mRk_m}^- + \sum_{m=1}^{NR} R'_{mm} \psi_{mRk_m}^+ \right]^* \sum_{m'=1}^{NR} \psi_{m'Rk_{m'}}^+ J_{m'm'}$$

$$= \frac{e\hbar}{2mL} \left\{ e^{+ik_mx} e^{ik_mx} \sum_{m=1}^{NR} (R'_{mm})^* e^{-ik_mx} e^{ik_mx} J_{mm'} \right\}$$

$$- \left\{ e^{ik_mx} e^{ik_mx} J_{mm'} - \sum_{m=1}^{NR} (R'_{mm})^* e^{-ik_mx} e^{ik_mx} J_{mm'} \right\}$$

$$= \frac{e\hbar}{2mL} \left\{ e^{i(k_m+k_m)x} (k_m-k_m) J_{mm'} + \sum_{m=1}^{NR} (R'_{mm})^* J_{mm'} 2k_m \right\}$$

$$= \frac{e\hbar}{2mL} \left\{ e^{i(k_m+k_m)x} \underbrace{(k_m-k_m)}_0 J_{mm'} + \underline{2\sqrt{k_m} \sqrt{k_{m'}}} (r'+t)_{mm'} \right\}$$

cross-terms $\alpha=L, \alpha'=R$ $x \in R$



$$i \sum_{m \in L} \sum_{m' \in R} \langle x | = \frac{e\hbar}{2im} \left\{ \int dy \left[\sum_{m=1}^{N_R} J_{mm} \psi_{mRE}^+ \right]^* \psi_{m'RE}^- + \sum_{m'=1}^{N_R} R_{m'm'}^1 \psi_{m'LE}^+ \right.$$

$$\left. - \int dy \left[\sum_{m=1}^{N_R} J_{mm} \psi_{mRE}^+ \right]^* \left[\psi_{m'LE}^- + \sum_{m'=1}^{N_R} R_{m'm'}^1 \psi_{m'LE}^+ \right] \right\}$$

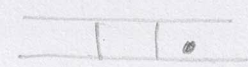
$$= \frac{e\hbar}{2im} \left[e^{-ik_m x} J_{m'm}^* e^{-ik_{m'} x} + \sum_{m=1}^{N_R} J_{mm}^* R_{mm'}^1 e^{-ik_m x} e^{ik_{m'} x} \right]$$

$$- \left[e^{-ik_m x} J_{m'm}^* (-ik_{m'}) e^{-ik_{m'} x} - \sum_{m=1}^{N_R} J_{mm}^* R_{mm'}^1 e^{-ik_m x} e^{ik_{m'} x} \right]$$

$$= \frac{e\hbar}{m} \sum_{m=1}^{N_R} J_{mm}^* R_{mm'}^1 k_m$$

$$= \frac{e\hbar}{m} (t^+ r^1)_{mm'} \sqrt{k_m} \sqrt{k_{m'}}$$

cross-terms $d=R, d'=L \quad x \in R$



$$i \int_{mRE}^{m'LE} \psi(x) = \frac{e\hbar}{2im} \left\{ \int dy \left[\psi_{mRE}^- + \sum_{m=1}^{NR} R'_{mm} \psi_{mRE}^+ \right] \partial_x \sum_{m'=1}^{NR} J_{m'm} \psi_{m'RE}^+ \right\}$$

$$- \int dy \partial_x \left[\psi_{mRE}^- + \sum_{m=1}^{NR} R'_{mm} \psi_{mRE}^+ \right]^* \sum_{m'=1}^{NR} J_{m'm} \psi_{m'RE}^+ \}$$

$$= \frac{e\hbar}{2im} \left[e^{ik_m x} J_{mm'} e^{ik_m x} + \sum_{m=1}^{NR} R'^*_{mm} J_{m'm} e^{-ik_m x} e^{ik_m x} \right]$$

$$- e^{ik_m x} (ik_m) J_{m'm} e^{ik_m x} + \sum_{m=1}^{NR} R'^*_{mm} J_{m'm} (-ik_m) e^{-ik_m x} e^{ik_m x}$$

$$= \frac{e\hbar}{m} \sum_{m=1}^{NR} R'^*_{mm} J_{m'm} k_m$$

$$= \frac{e\hbar}{m} \sqrt{k_m k_{m'}} (r'^{\dagger} t)_{m'm'}$$