Spectrum and Franck-Condon factors of interacting SWCNT

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A. Donarini, A. Yar and M. Grifoni, New Journal of Physics 14, 023045 (2012)

Carbon nanotubes NEMS

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Carbon nanotubes NEMS



R. Leturcq et al. Nat. Phys. 5, 327 (2009)

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$$H_S = \hbar\omega \left[(x^2 + p^2) - 2n\lambda x \right] + \epsilon_0 n$$



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CR Success of the simple theory

1) It explains the current blocking at low bias (but above the Coulomb blockade threshold)



Koch and von Oppen PRL **94** 206804 (2005)

2) Correctly predicts the **heights of the steps in the current** (for equilibrated phonons)





1) The **magnitude** of the dimensionless electron-phonon coupling.

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2) Missing explanation for the current PEAKS present in the experiments.



TR Importance of the geometry

Asymmetric Franck-Condon factors in suspended carbon nanotube quantum dots



Extension of the model (i)



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We studied the **entire** geometrical parameter space





$$\lambda = L_{\rm v}/L_{\rm d}$$
$$\delta = (x_{\rm v} - x_{\rm d})/L_{\rm d}$$







We analyzed **multimode degenerate** vibronic configurations



The stretching mode has **linear dispersion relation**

 $\omega_n = n\omega_1$



Degenerate states are a necessary condition for interference effects with associated negative differential conductance.



We considered **unscreened** Coulomb interaction on the nanotube

Dimensionless e-e interaction strength

$$g_{c+} = 0.2$$

TR Low energy Hamiltonian of a SWCNT

We consider the Hamiltonian of the form:

$$\hat{H}_{\rm sys} = \hat{H}_0 + \hat{V}_{\rm ee} + \hat{H}_{\rm v} + \hat{H}_{\rm ev}$$

where

$$\hat{H}_0 + \hat{V}_{ee} \approx \hat{H}_{TL} = \hat{H}_N + \sum_j \hat{H}_j$$
 Tomona

iga-Luttinger liquid

and

$$\hat{H}_{j} = \frac{\varepsilon_{0}}{g_{j}} \sum_{n \ge 1} n \, \hat{b}_{j,n}^{\dagger} \hat{b}_{j,n} \qquad \qquad \text{Bosonic excitations}$$

 $\varepsilon_0 = \hbar v_{\rm F} \frac{\pi}{L_d}$ $g_{c+} \approx 0.2$ $g_i = 1$ for the other cases.

 $\hat{H}_{v} = \frac{1}{2} \int_{x_{v} - \frac{L_{v}}{2}}^{x_{v} + \frac{L_{v}}{2}} dx \left[\frac{1}{\zeta} \hat{P}^{2}(x) + \zeta v_{st}^{2} \left(\partial_{x} \hat{u}(x) \right)^{2} \right]$ Continuum model for the stretching motion

 $\zeta = 2\pi RM$ $M = 3.80 \times 10^{-7} \,\mathrm{kg}\,\mathrm{m}^{-2}$ $v_{\rm st} = 2.4 \times 10^4 \,{\rm m \, s^{-1}}$

CR Electron-vibron hamiltonian

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We write the electron-vibron coupling in terms of **density and deformation potential** which leads to the following hamiltonian

$$\hat{H}_{ev} = I \sqrt{g_{c+}} \sum_{n,m \geqslant 1} \sqrt{nm} K_{nm}(\lambda, \delta) 2\hat{X}_n \hat{x}_m + I \sum_{m \geqslant 1} \sqrt{m} L_m(\lambda, \delta) \sqrt{2} \hat{N}_{c+} \hat{x}_m$$
Bare coupling
constant

$$I = g \sqrt{\frac{\hbar \pi}{\zeta v_n L_d^2}}$$
Plasmon-vibron
coupling
$$K_{nm}(\lambda, \delta) = \frac{1}{\lambda} \int_{x_{min}}^{x_{max}} dx \left\{ \cos \left[\pi x \left(n + \frac{m}{\lambda} \right) - \frac{m\pi}{\lambda} \left(\delta + \frac{1 - \lambda}{2} \right) \right] \right\}$$
Plasmon-vibron
coupling
$$K_{nm}(\lambda, \delta) = \frac{1}{\lambda} \int_{x_{min}}^{x_{max}} dx \left\{ \cos \left[\pi x \left(n - \frac{m}{\lambda} \right) + \frac{m\pi}{\lambda} \left(\delta + \frac{1 - \lambda}{2} \right) \right] \right\}$$
Charge-vibron
coupling
$$L_m(\lambda, \delta) = \frac{1}{\lambda} \int_{x_{min}}^{x_{max}} dx \cos \left[\frac{m\pi}{\lambda} \left(x - \delta - \frac{1 - \lambda}{2} \right) \right]$$

$$x_{min} = \max[0, \delta + (1 - \lambda)/2], x_{max} = \min[1, \delta + (1 + \lambda)/2]$$



Charge-vibron coupling



$$\lambda = L_{\rm v}/L_{\rm d}$$
$$\delta = (x_{\rm v} - x_{\rm d})/L_{\rm d}$$

No charge-vibron coupling for vibrons **entirely inside** the dot

Only even modes couple to the charge in the symmetric long vibron regime

Only odd modes couple to the charge in the asymmetric short vibron regime



Plasmon-vibron coupling







$$\lim_{\lambda \to \frac{m}{n}} K_{mn}(\lambda, \delta) = \begin{cases} \frac{n}{m} \cos\left[\frac{\pi}{2}(n - m - 2n\delta)\right], & \text{for } n < m \\ \cos\left[\frac{\pi}{2}(n - m - 2n\delta)\right], & \text{for } n > m \end{cases}$$

$$k_m = k_n$$

Different geometries maximize the coupling of **different modes**

$$K_{nm}\left(\lambda,\frac{1}{2}+\alpha\lambda\right) = \frac{2}{\pi m}(-1)^n \sin\left[m\pi\left(\frac{1}{2}-\alpha\right)\right] \quad \lambda \ll m/n \quad \Longrightarrow$$

In the **short vibron** regime the e-v coupling is **constant** to **several plasmonic modes**



Energy scales

 $L_{\rm d} = L_{\rm v} = 1 \,\mu {\rm m} \qquad v_{\rm F} = 8 \times 10^5 \,{\rm m \, s^{-1}}$ $R = 6.68 \,{\rm \mathring{A}} \qquad v_{\rm st} = 2.4 \times 10^4 \,{\rm m \, s^{-1}}$ $M = 3.8 \times 10^{-7} \,{\rm kg \, m^{-2}} \qquad g = 30 \,{\rm eV}$ $g_{c+} = 0.2$



Lowest charged plasmon energy: $\varepsilon_0/g_{c+} = 8.293 \text{ meV}$ Lowest vibron energy: $\hbar\omega = 50 \ \mu\text{eV}$ Bare coupling constant: $I = 88 \ \mu\text{eV}$

The electrical and the mechanical dynamics for an **isolated tube** are completely **independent**

BUT

The mechanical degree of freedom influences the **tunnelling dynamics** under specific geometrical conditions.



Diagonalization

The Hamiltonian can be written in terms of quadratic form

$$\hat{H}'_{\text{sys}} = \begin{pmatrix} \hat{\mathbf{X}} \\ \hat{\mathbf{x}} \\ \hat{\mathbf{P}} \\ \hat{\mathbf{p}} \end{pmatrix}^{\text{T}} \begin{pmatrix} H_{\text{pp}} \mathbf{A}_{H_{\text{pv}}} & 0 & 0 \\ H_{\text{vp}} & H_{\text{vv}} & 0 & 0 \\ 0 & 0 & H_{\text{pp}} & 0 \\ 0 & 0 & 0 & H_{\text{pp}} \end{pmatrix} \begin{pmatrix} \hat{\mathbf{X}} \\ \hat{\mathbf{x}} \\ \hat{\mathbf{P}} \\ \hat{\mathbf{p}} \end{pmatrix} + \hat{H}_{\text{cv}}$$

 $N_{\rm p}$

 $\hat{\xi}'_l$

 $\hat{\pi}'_I$

Contraction

 $\hat{X}'_n = 1/\sqrt{n\hbar\Omega}\,\hat{X}_n, \quad \hat{x}'_m = 1/\sqrt{m\hbar\omega}\,\hat{x}_m,$

 $\hat{P}'_n = \sqrt{n\hbar\Omega} \hat{P}_n, \qquad \hat{p}'_m = \sqrt{m\hbar\omega} \hat{p}_m$

Rotation

Expansion

$$= \sum_{n=1}^{N} U_{ln}^{T} \hat{X}'_{n} + \sum_{m=1}^{N} U_{lN_{p}+m}^{T} \hat{x}'_{m},$$

$$= \sum_{n=1}^{N_{p}} U_{ln}^{T} \hat{P}'_{n} + \sum_{m=1}^{N_{v}} U_{lN_{p}+m}^{T} \hat{p}'_{m}$$

$$\sum_{n=1}^{N} \hat{h}(0) = \hat{h}(0) = \hat{h}(0)$$

 $N_{\rm v}$

$$\hat{\xi}_l = \sqrt{\hbar\omega_l} \, \hat{\xi}'_l, \hat{\pi}_l = 1/\sqrt{\hbar\omega_l} \, \hat{\pi}'_l$$

$$\hat{H}'_{\rm sys} = \sum_{l} \frac{\hbar \omega_{l}}{2} (\hat{\xi}_{l}^{2} + \hat{\pi}_{l}^{2}) + H_{\rm cv}$$

Polaron transformation



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The low energy excitations of a suspended SWCNT are





Spectrum



$$\frac{\omega_m - m\omega}{m\omega} \approx -\frac{2g_{c+}I^2}{\hbar^2\omega\Omega}$$

$$\hbar\omega_{l} = \sqrt{2\Delta_{l}} = n\hbar\sqrt{\frac{\Omega^{2} + \omega^{2}}{2} \pm \sqrt{\left(\frac{\Omega^{2} - \omega^{2}}{2}\right)^{2} + \frac{4g_{c+}I^{2}\omega\Omega}{\hbar^{2}}},$$

Wentzel-Bardeen singularity

$$\omega_1 = \omega \sqrt{1 - \frac{4I^2 g_{c+}}{\hbar^2 \omega \Omega} \sum_{n=1}^{\infty} K_{n1}^2}$$

A very modest renormalization for unscreened Coulomb interaction



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$$\langle \vec{N}, \vec{m} | \hat{\Psi}^{\dagger}_{\sigma} \vec{r} \rangle | \vec{N}', \vec{m}'
angle$$



Localized Extended

tunnelling regions

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CR Multiple Franck-Condon parabolas

$$\langle \vec{N}, \vec{m} | \hat{\psi}_{rF\sigma}(x) | \vec{N}', \vec{m}' \rangle \propto \langle \vec{N} | \hat{\eta}_{r\sigma} \hat{K}_{rF\sigma} | \vec{N}' \rangle \prod_{l} F(m_l, m_l', \lambda_l)$$

The tunnelling amplitude is a **product** of **different Franck-Condon parabolas** With **different couplings** λ_1 for the different vibron-plasmon modes



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Strong dependance on the **GEOMETRY** of the junction



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Large FC factors, Independent of the tunnelling position



Short vibron regime



Small FC factors, Mimic position and shape of the vibron-plasmon mode

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1) We analyzed the spectrum and the effective Franck–Condon couplings of a suspended SWCNT quantum dot including many vibronic modes as well as different dot–vibron geometrical configurations.

2) In the low-energy description of the suspended SWCNT reduces to a **set of displaced plasmon–vibron** excitations.

3) The analysis of the coupling constants K_{nm} and L_m and of the Franck–Condon couplings on the entire geometrical parameters space allowed us to identify **different** regimes:

short symmetric vibron

long vibron

asymmetric short vibron



Three regimes

short symmetric vibron: the charge–vibron component vanishes and the Franck-Condon couplings are **extremely small** due to the **energy scale separation** between the plasmonic and vibronic modes that hinders the plasmon–vibron mixing. The Franck–Condon coupling is position dependent and is located around the position of the vibron.

long vibron: the charge–vibron coupling dominates the scenario giving substantially **larger Franck–Condon couplings and independent of the position** as in the simple Anderson–Holstein model. The Franck–Condon couplings are strongly dependent on the relative position of the vibron and the dot, leading to selection rules.

short asymmetric vibron:, the **charge–vibron** and **plasmon–vibron** contributions are of the **same order** and correspondingly one can distinguish the position-dependent contribution due to the plasmon–vibron mixing superimposed on the uniform polaron shift typical of the charge–vibron component of the coupling.





Thank you for your attention !

TR Low energy Hamiltonian of a SWCNT

We consider the Hamiltonian of the form:

$$\hat{H}_{\rm sys} = \hat{H}_0 + \hat{V}_{\rm ee} + \hat{H}_{\rm v} + \hat{H}_{\rm ev}$$

Single particle: (metallic) nanotube with open boundary conditions

$$\hat{H}_{0} = \hbar v_{\rm F} \sum_{r\sigma} r \sum_{\kappa} \kappa \hat{c}^{\dagger}_{r\sigma\kappa} \hat{c}_{r\sigma\kappa}$$



Coulomb interaction: full form

$$\hat{\mathcal{V}}_{ee} = \frac{1}{2} \sum_{\sigma,\sigma'} \int d\vec{r} \int d\vec{r}' \hat{\Psi}^{\dagger}_{\sigma}(\vec{r}) \hat{\Psi}^{\dagger}_{\sigma'}(\vec{r}') U(\vec{r}-\vec{r}') \hat{\Psi}_{\sigma'}(\vec{r}') \hat{\Psi}_{\sigma}(\vec{r})$$

 $\hat{\Psi}_{\sigma}\left(\vec{r}\right) = \sum_{r\kappa} \varphi_{r\kappa}\left(\vec{r}\right) \hat{c}_{r\sigma\kappa}$

Ohno potential

$$U(\vec{r} - \vec{r}') = U_0 \left[1 + \left(\frac{U_0 \epsilon |\vec{r} - \vec{r}'|}{\alpha} \right)^2 \right]^{-1/2}$$

Tr Tomonaga-Luttinger SWCNT

Different processes are represented by the **Coulomb Hamiltonian**:



We can rewrite the Hamiltonian in the Tomonaga Luttinger form:

$$\hat{H}_0 + \hat{V}_{ee} \approx \hat{H}_{TL} = \hat{H}_N + \sum_j \hat{H}_j$$

where

$$\hat{H}_{\rm N} = \frac{\varepsilon_0}{4} \sum_{j} \frac{\hat{N}_{j}^2}{2} + \varepsilon_{\Delta} \hat{N}_{c-} + E_{\rm c} \frac{\hat{N}_{c+}^2}{2}$$

Fermionic excitations + Charging effects $\hat{N}_{c+} = \sum_{r\sigma} \hat{N}_{r\sigma}$ $\hat{N}_{c-} = \sum_{r\sigma} \operatorname{sgn}(r) \hat{N}_{r\sigma}$ $\hat{N}_{s+} = \sum_{r\sigma} \operatorname{sgn}(\sigma) \hat{N}_{r\sigma}$ $\hat{N}_{s-} = \sum_{r\sigma} \operatorname{sgn}(r\sigma) \hat{N}_{r\sigma}$

and

$$\hat{H}_j = \frac{\varepsilon_0}{g_j} \sum_{n \ge 1} n \, \hat{b}_{j,n}^{\dagger} \hat{b}_{j,n}$$

 $\varepsilon_0 = \hbar v_{\rm F} \frac{\pi}{L_{\rm d}}$ $g_{c+} \approx 0.2$ $g_i = 1$ for the other cases.



$$\hat{H}_{v} = \frac{1}{2} \int_{x_{v} - \frac{L_{v}}{2}}^{x_{v} + \frac{L_{v}}{2}} dx \left[\frac{1}{\zeta} \hat{P}^{2}(x) + \zeta v_{st}^{2} \left(\partial_{x} \hat{u}(x) \right)^{2} \right]$$

Continuum model for the **stretching motion** $\zeta = 2\pi RM$ $M = 3.80 \times 10^{-7} \text{ kg m}^{-2}$ $v_{\text{st}} = 2.4 \times 10^4 \text{ m s}^{-1}$

where

$$\hat{u}(x) = \sqrt{\frac{\hbar}{\zeta v_{\text{st}} L_{\text{v}}}} \sum_{m \ge 1} \sin \left[k_m \left(x - x_{\text{v}} + \frac{L_{\text{v}}}{2} \right) \right] \frac{1}{\sqrt{k_m}} \left(\hat{a}_m^{\dagger} + \hat{a}_m \right) \quad \begin{array}{l} \text{Displacement} \\ \text{field operator} \end{array} \qquad k_m = m\pi/L_{\text{v}} \\ \hat{P}(x) = i \sqrt{\frac{\hbar \zeta v_{\text{st}}}{L_{\text{v}}}} \sum_{m \ge 1} \sin \left[k_m \left(x - x_{\text{v}} + \frac{L_{\text{v}}}{2} \right) \right] \sqrt{k_m} \left(\hat{a}_m^{\dagger} - \hat{a}_m \right) \qquad \begin{array}{l} \text{Associated} \\ \text{momentum} \end{array}$$

Finally

$$\hat{H}_{v} = \sum_{m \ge 1} E_{m} \left(\hat{a}_{m}^{\dagger} \hat{a}_{m} + \frac{1}{2} \right) \qquad \qquad E_{m} = m \hbar v_{\rm st} \pi / L_{v} \equiv m \hbar \omega.$$

TR Electron-vibron hamiltonian (i)



Plasmonic coordinate



Charge-vibron coupling



$$\lambda = L_{\rm v}/L_{\rm d}$$
$$\delta = (x_{\rm v} - x_{\rm d})/L_{\rm d}$$

$$L_m(\lambda, \delta) = (-1)^m L_m(\lambda, -\delta)$$

$$L_m\left(\lambda,\pm\frac{1}{2}+\alpha\lambda\right) = \frac{1}{m\pi}\sin\left[m\pi\left(\frac{1}{2}-\alpha\right)\right]$$

TR Fermionic and bosonic fields

$$\hat{\Psi}_{\sigma}(\vec{r}) \longrightarrow \hat{\psi}_{rF\sigma}(x) = \hat{\eta}_{r\sigma} \hat{K}_{rF\sigma}(x) e^{i\hat{\phi}_{rF\sigma}^{\dagger}(x)} e^{i\hat{\phi}_{rF\sigma}(x)}$$
3D to 1D

$$\hat{K}_{rF\sigma}(x) = \frac{1}{\sqrt{2L_{\rm d}}} \mathrm{e}^{\mathrm{i}(\pi/L_{\rm d})\mathrm{sgn}(\mathrm{F})(r\hat{N}_{r\sigma}+\Delta)x}$$

$$\hat{\psi}_{rF\sigma}(x) \propto \hat{\eta}_{r\sigma} \hat{K}_{rF\sigma}(x) \prod_{n \ge 1} \mathrm{e}^{+\mathrm{i}P_n(x)\hat{X}_n - \mathrm{i}X_n(x)\hat{P}_n}$$

$$X_n(x) = \sqrt{\frac{2}{ng_{c+}}} \cos\left[\frac{n\pi}{L_d}\left(x - x_d + \frac{L_d}{2}\right)\right],$$
$$P_n(x) = \sqrt{\frac{2g_{c+}}{n}} \operatorname{sgn}(\operatorname{Fr}) \sin\left[\frac{n\pi}{L_d}\left(x - x_d + \frac{L_d}{2}\right)\right]$$

Franck-Condon couplings (i)

 $|\vec{N}, \vec{m}\rangle = e^{\hat{S}} |\vec{N}, \vec{m}\rangle_0$, Eigenstates: set of shifted vibron-plasmons

$$|\vec{N}, \vec{m}\rangle_0 = \prod_l \frac{(\hat{\xi}_l - i\hat{\pi}_l)^{m_l}}{\sqrt{2m_l!}} |\vec{N}, 0\rangle_0$$

 $\langle \vec{N}, \vec{m} | \hat{\psi}_{rF\sigma}(x) | \vec{N}', \vec{m}' \rangle = {}_0 \langle \vec{N}, \vec{m} | \mathrm{e}^{-\hat{S}} \hat{\psi}_{rF\sigma}(x) \mathrm{e}^{+\hat{S}} | \vec{N}', \vec{m}' \rangle_0$

$$\begin{split} \mathrm{e}^{-\hat{S}} \hat{\psi}_{rF\sigma}(x) \mathrm{e}^{+\hat{S}} \propto \hat{\eta}_{r\sigma} \hat{K}_{rF\sigma} \prod_{l} \mathrm{e}^{+\mathrm{i}\pi_{l}(x)\hat{\xi_{l}} - \mathrm{i}\xi_{l}(x)\hat{\pi_{l}}} \\ & \text{In terms of the vibron-plasmon operators} \end{split}$$

$$\xi_{l}(x) = -\frac{\sqrt{2I}}{\varepsilon_{l}} \sum_{m=1}^{N_{v}} \sqrt{\frac{\hbar\omega}{\varepsilon_{l}}} m L_{m} U_{N_{p}+m,l} + \sum_{n=1}^{N_{p}} \sqrt{\frac{2\varepsilon_{l}}{n^{2}g_{c}+\hbar\Omega}} U_{nl} \cos\left[\frac{n\pi}{L_{d}}\left(x-x_{d}+\frac{L_{d}}{2}\right)\right],$$
$$\pi_{l}(x) = \sum_{n=1}^{N_{p}} \sqrt{\frac{2g_{c}+\hbar\Omega}{\varepsilon_{l}}} U_{nl} \sin\left[\frac{n\pi}{L_{d}}\left(x-x_{d}+\frac{L_{d}}{2}\right)\right].$$

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TR Diagonalization: the polaron shift

$$\hat{H}'_{\rm sys} = \sum_{l} \frac{\hbar \omega_{l}}{2} (\hat{\xi}_{l}^{2} + \hat{\pi}_{l}^{2}) + H_{\rm cv}$$

where

$$\hat{H}_{cv} = I\sqrt{2}\sum_{lm} mL_m \sqrt{\frac{\omega}{\omega_l}} U_{N_p+m, l} \hat{\xi}_l \hat{N}_{c+}.$$

$$\hat{H'}_{sys} = e^{-\hat{s}} \hat{H'}_{sys} e^{+\hat{s}}$$

$$\hat{P} olaron transformation$$

$$\hat{s} = i\sqrt{2}\sum_{lm} \frac{1}{\hbar\omega_l} mL_m \sqrt{\frac{\omega}{\omega_l}} U_{N_p+m, l} \hat{\pi}_l \hat{N}_{c+}$$

$$\hat{H'}_{sys} = \sum_l \frac{\hbar\omega_l}{2} (\hat{\xi}_l^2 + \hat{\pi}_l^2) - \sum_l \frac{I^2}{\hbar\omega_l} \left(\sum_m L_m \sqrt{\frac{\omega}{\omega_l}} U_{N_p+m, l}\right)^2 \hat{N}_{c+}^2$$

$$E_{\vec{N},\vec{m}} = E_{\vec{N}} + \sum_{l} \hbar \omega_l \left(m_l + \frac{1}{2} \right) + \sum_{n,j \neq c+} n \varepsilon_0 m_{n,j}$$
 The spectrum