

## Quantum Theory of Condensed Matter I

Prof. Milena Grifoni  
 Dr. Andrea Donarini  
 Sebastian Pfaller

5.1.01 Mondays 10:15  
 9.2.01 Tuesdays 12:15

## Sheet 6

## 1. The Hubbard model

The Hamiltonian of a system of  $N$  electrons is given by

$$\hat{H} = \hat{T}_e + \hat{V}_{ie} + \hat{V}_{ee} = \sum_{i=1}^N \frac{\mathbf{p}_i^2}{2m} + \sum_{i=1}^N V(\mathbf{r}_i) + \sum_{i \neq j} \frac{e^2}{|\mathbf{r}_i - \mathbf{r}_j|},$$

where  $\hat{T}_e$  is the kinetic energy of the electrons,  $\hat{V}_{ie}$  is the periodic potential generated by the ions and  $\hat{V}_{ee}$  is the Coulomb potential defining the electron-electron interaction.

- Express this Hamiltonian in the second quantization, both in the Wannier and the Bloch basis. Assume that there is only one band, so the only quantum numbers are the spin  $\sigma$ , and  $\mathbf{k}$  or  $\mathbf{R}$  depending on the basis. **(2 Points)**
- Use the tight-binding approximation assuming only the nearest-neighbour hopping and write down the matrix elements of  $\hat{V}_{ee}$  in the Wannier basis. **(2 Points)**
- The tight-binding, nearest-neighbour approximation implies that only 5 terms in the sums over  $\mathbf{r}$ 's are non-vanishing. The most significant are the on-site interaction  $U$  and the nearest-neighbour interaction  $V$ . What are the matrix elements corresponding to these two terms, in the Wannier basis? **(2 Points)**
- Prove that if only the single-electron part and the on-site part of the Coulomb interaction are taken into account, the Hubbard hamiltonian can be written as

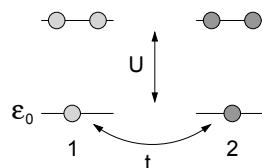
$$H = \sum_{\mathbf{R}, \mathbf{R}'(\text{n.n.})} \sum_{\sigma} t c_{\mathbf{R}\sigma}^{\dagger} c_{\mathbf{R}'\sigma} + U \sum_{\mathbf{R}} c_{\mathbf{R}\uparrow}^{\dagger} c_{\mathbf{R}\uparrow} c_{\mathbf{R}\downarrow}^{\dagger} c_{\mathbf{R}\downarrow}.$$

**(2 Points)**

## 2. Double site Hubbard model

The Hubbard Hamiltonian for a two site system reads explicitly:

$$\begin{aligned} \hat{H} = & \epsilon_0 \left( \hat{c}_{1\uparrow}^{\dagger} \hat{c}_{1\uparrow} + \hat{c}_{1\downarrow}^{\dagger} \hat{c}_{1\downarrow} + \hat{c}_{2\uparrow}^{\dagger} \hat{c}_{2\uparrow} + \hat{c}_{2\downarrow}^{\dagger} \hat{c}_{2\downarrow} \right) + t \left( \hat{c}_{1\uparrow}^{\dagger} \hat{c}_{2\uparrow} + \hat{c}_{2\downarrow}^{\dagger} \hat{c}_{1\downarrow} + \hat{c}_{2\uparrow}^{\dagger} \hat{c}_{1\downarrow} + \hat{c}_{1\downarrow}^{\dagger} \hat{c}_{2\uparrow} \right) \\ & + U \left( \hat{c}_{1\uparrow}^{\dagger} \hat{c}_{1\uparrow} \hat{c}_{1\downarrow}^{\dagger} \hat{c}_{1\downarrow} + \hat{c}_{2\uparrow}^{\dagger} \hat{c}_{2\uparrow} \hat{c}_{2\downarrow}^{\dagger} \hat{c}_{2\downarrow} \right). \end{aligned}$$



1. Calculate the two particle eigenenergies analytically. Treat the case of parallel and antiparallel spin separately. Assume a fixed  $t < 0$  and plot the results as a function of  $U/t$ .  
Hint: For the antiparallel case consider the basis of the corresponding Hilbert space:

$$\hat{c}_{1\uparrow}^\dagger \hat{c}_{1\downarrow}^\dagger |0\rangle, \quad \hat{c}_{2\uparrow}^\dagger \hat{c}_{2\downarrow}^\dagger |0\rangle, \quad \hat{c}_{1\uparrow}^\dagger \hat{c}_{2\downarrow}^\dagger |0\rangle, \quad \hat{c}_{2\uparrow}^\dagger \hat{c}_{1\downarrow}^\dagger |0\rangle.$$

Calculate the matrix elements of  $\hat{H}$  in this basis and diagonalize the resulting  $4 \times 4$  matrix. **(3 Points)**

2. **(Oral)** Calculate the ground state in the Hartree-Fock approximation and compare it with the exact result from 2.1.

**Frohes Schaffen!**