## Quantum Theory of Condensed Matter I

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5.1.01 Mondays 10:15 9.2.01 Tuesdays 12:15

## Sheet 11

## 1. Quasi particles density of states

Consider the BCS Hamiltonian in the mean field approximation:

$$H_{BCS}^{MF} = \sum_{\mathbf{k}\sigma} \xi_{\mathbf{k}} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma} - \sum_{\mathbf{k}} \Delta c_{\mathbf{k}\uparrow}^{\dagger} c_{-\mathbf{k}\downarrow}^{\dagger} - \sum_{\mathbf{k}} \Delta^{*} c_{-\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow}$$

$$\tag{1}$$

where the single particle energy is measured starting from the chemical potential:  $\xi_{\mathbf{k}} \equiv \frac{\hbar^2 k^2}{2m} - \mu$ . As seen in the lecture the Hamiltonian (1) is diagonalized by the Bogoliubov transformation:

$$\begin{pmatrix} \alpha_{\mathbf{k}\uparrow} \\ \alpha_{-\mathbf{k}\downarrow}^{\dagger} \end{pmatrix} = \begin{pmatrix} u_{\mathbf{k}}^{*} & v_{\mathbf{k}} \\ -v_{\mathbf{k}}^{*} & u_{\mathbf{k}} \end{pmatrix} \begin{pmatrix} c_{\mathbf{k}\uparrow} \\ c_{-\mathbf{k}\downarrow}^{\dagger} \end{pmatrix}$$
(2)

with the values

$$|u_{\mathbf{k}}|^2 = \frac{1}{2} \left( 1 + \frac{\xi_{\mathbf{k}}}{E_{\mathbf{k}}} \right) \qquad |v_{\mathbf{k}}|^2 = \frac{1}{2} \left( 1 - \frac{\xi_{\mathbf{k}}}{E_{\mathbf{k}}} \right)$$
(3)

where

$$E_{\mathbf{k}} = \sqrt{\xi_{\mathbf{k}}^2 + \Delta^2} \tag{4}$$

1. Consider the Bogoliubov transformation applied to a normal system ( $\Delta=0$ ). How does the Hamiltonian look like in terms of the operators  $\alpha_{\mathbf{k}\sigma}$  and  $\alpha_{\mathbf{k}\sigma}^{\dagger}$ ? In which sense the operator  $\alpha_{\mathbf{k}\sigma}^{\dagger}$  creates a quasi particle excitation? Which is the spectrum of these excitations as a function of  $\xi_{\mathbf{k}}$ 

(3 Points)

2. The Bogoliubov transformation brings the Hamiltonian (1) into the form:

$$\sum_{\mathbf{k}\sigma} E_{\mathbf{k}} \alpha_{\mathbf{k}\sigma}^{\dagger} \alpha_{\mathbf{k}\sigma} + \text{constant}, \tag{5}$$

where  $E_{\mathbf{k}}$  is given above. Calculate the density of states  $d_s(\epsilon)$  for the quasi-particle excitations of a superconductor described by the BSC Hamiltonian (1). Prove that, in the limit  $\epsilon, \Delta \ll \mu$  the following relation holds:

$$\frac{d_s(\epsilon)}{d_n(0)} = \theta(\epsilon - |\Delta|) \frac{\epsilon}{\sqrt{\epsilon^2 - \Delta^2}}$$
(6)

where  $d_n(0)$  is the density of states of the zero energy quasi-particle excitations of a normal metal ( $\Delta = 0$ ). Hint: Remember the definition of density of states:  $d(\epsilon) = \frac{1}{V} \sum_{\alpha} \delta(\epsilon - \epsilon_{\alpha})$ .

(3 Points)

## 2. Average particle number of a superconductor

Consider the celebrated variational BCS ground state:

$$|\psi_{BCS}\rangle = \prod_{\mathbf{k}} (u_{\mathbf{k}} + v_{\mathbf{k}} c_{\mathbf{k}\uparrow}^{\dagger} c_{-\mathbf{k}\downarrow}^{\dagger})|0\rangle \tag{7}$$

where  $u_{\bf k}$  and  $v_{\bf k}$  are implicitly given in the previous exercise if we assume them to be real,  $|0\rangle$  is the vacuum state. Show that the average electron number associated to the BCS ground state reads:

$$\langle N \rangle = \langle \psi_{BCS} | \hat{N} | \psi_{BCS} \rangle = 2 \sum_{\mathbf{q}} |v_{\mathbf{q}}|^2$$
 (8)

(3 Points)

Frohes Schaffen!