## Quantum Theory of Condensed Matter I

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5.1.01 Mondays 10:15 9.2.01 Tuesdays 12:15

#### Sheet 12

### 1. Open questions

Give a concise (and as much as possible precise) answer to the following questions:

- Explain the presence of continuous energy bands in the spectrum of a solid, if compared with the discrete energy spectrum of an atom. (2 Points)
- Electron-electron interaction can be considered as a perturbation at high electron densities. Substantiate this counterintuitive statement. (2 Points)
- Define the concept of phonon. Compare the Einstein and the Debye models for the calculation of the specific heat at constant volume for a solid. (2 Points)

#### 2. Density of states of an infinite ribbon

Let's us consider an infinite ribbon made of  $N_y$  chains of atoms organized in a square lattice (see Fig. 1). The valence (spinless) electrons are described by the tight binding Hamiltonian:

$$H = \lim_{N_x \to \infty} t \sum_{\langle i,j \rangle} c_i^{\dagger} c_j \tag{1}$$

where the sum runs over nearest neighbors and we assume periodic boundary conditions in the x direction (*i.e.*  $N_x + 1 = 1$ ).



1. The ribbon is invariant under translation in the x direction by any multiple of lattice unit. Use the Bloch theorem to block diagonalize the Hamiltonian for  $N_x \to \infty$  and calculate the band structure for the ribbon for the case with  $N_y = 2, 3$ . How many bands do you obtain in each case? (3 Points)

2. Consider now the isolated unit cell (see figure). Call  $\epsilon_n$  and  $|n, R\rangle$ ,  $n = 1, \ldots, N_y$  the eigenenergies and eigenstates of the unit cell in position R, respectively. Prove that the ribbon hamiltonian (1) is equivalent to the Hamiltonian of  $N_y$  independent chains of atoms where the *n*-th chain is characterized by an on-site energy  $\epsilon_n$  and the same hopping t of the ribbon, *i.e*:

$$H = \sum_{n=1}^{N_y} \left( \sum_R \epsilon_n |n, R\rangle \langle n, R| + t |n, R\rangle \langle n, R + a| + t |n, R + a\rangle \langle n, R| \right)$$
(2)

Hint: the n-th eigenstate of an open chain of N atoms reads:

$$|n\rangle = \left(\frac{2}{N+1}\right)^{1/2} \sum_{\alpha=1}^{N} \sin\left(\frac{\pi}{N+1}n\alpha\right) |\alpha\rangle$$
(4 Points)

3. Prove that the density of states (normalized to the length) of the ribbon reads:

$$\rho(E) \equiv \lim_{N_x \to \infty} \frac{1}{N_x} \sum_{\alpha} \delta(E - \epsilon_{\alpha}) = \sum_{n=1}^{N_y} \frac{1}{\pi \sqrt{4t^2 - (E - 2t\cos(\pi/(N_y + 1)n))^2}}$$

where  $\alpha$  is a collection of quantum numbers labeling the eigenstates of the ribbon. (2 Points)

# **Frohes Schaffen!**